RECEIVED
CENTRAL FAX CENTER
AUG 3 1 2007

Law Offices of

CHAPMAN AND CUTLER LLP Intellectual Property Department

111 West Monroe Street, Suite 1700, Chicago, Illinois 60603-4080
Telephone 1•312•845•3000 FAX 1•312• 701•2361

Direct Dial 1-312-845-3919

FACSIMILE COVER PAGE

This transmission consists of 22 page(s) including cover page.

Please call (312) 845-3919 if you have any problems with this transmission.

Date: Friday, August 31, 2007 Fax Number: 1-571-273-8300 To: MAIL STOP AMENDMENT United States Patent and Trademark Office ATTN: Examiner Guy G. ANDERSON Art Unit: 2883 Re: U.S. Pat. App. Ser. No. 10/525,903 From: Jane S. Berman, Reg. No. 43,494 Attorney 1716364 Docket. No.:

ATTACHED: SUPPLEMENTAL IDS in App. 10/525,903

NOTICE OF CONFIDENTIALITY: The information contained in this facsimile transmission is confidential information which may contain information that is legally privileged and exempt from disclosure under applicable law. The information is intended solely for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or taking of any action in reliance on the contents of this facsimile transmission is strictly prohibited. If you have received this facsimile transmission in error, please notify us immediately by telephone to arrange for the return of the original transmission to us.

RECEIVED CENTRAL FAX CENTER

AUG 3 1 2007

PATENT APP. SER. NO. 10/525,903 ATTY. DOCKET NO. 1716364

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

····		
In re Patent Application of:) Examiner:	Anderson, Guy G.
Junichiro Ichikawa et al.) Group Art Unit:	2883
International Appln. No. PCT/JP2003/011012) Confirmation No.:	9664
U.S. Serial No.: 10/525,903)	•
Filing Date: February 25, 2005)	
For: OPTICAL MODULATOR)	
FILED BY FACSIMILE TO 1-571-273-8300	_) D	
Mail Stop AMENDMENT		
Commissioner for Patents		
P.O. Box 1450		
Alexandria VA 22212 1450		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449, in addition to the documents cited in the prior PTO-1449 filed on January 31, 2006. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits after filing of a request for continued examination, under 37 C.F.R. §1.97(b)(4). Copies of the listed documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that

2300541.01.02.doc 1716364

PATENT APP. SER. NO. 10/525,903 ATTY. DOCKET NO. 1716364

any of the listed documents do constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such extension is requested and the Commissioner is authorized to charge any required fees in connection with the filing of this statement to our Deposit Account No. 50-0305.

Respectfully submitted,

Jane S. Berman Reg. No. 43,494

Date: August 31, 2007 Chapman and Cutler LLP 111 West Monroe Street, Suite 1700 Chicago, Illinois 60603-4080 (312) 845-3919

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8

Date of Facsimile Transmission:

August 31, 2007

Transmitted to Facsimile No.:

1-571-273-8300

I hereby certify that the attached correspondence, namely: Supplemental IDS, with two references, was transmitted by facsimile on the date listed above, to the U.S. Patent Office at the facsimile number listed above, under 37 C.F.R. § 1.8.

Signature:

Typed Name of Person Signing this Certificate: Jane S. Berman

Date of Signature:

August 31, 2007

RECEIVED CENTRAL FAX CENTER

AUG 3 1 2007

PTO/SB/08A (07-05)

Approved for use through 07/31/2008 OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/525,903 Filing Date 09/06/2005 INFORMATION DISCLOSURE First Named Inventor Ichikawa, Junichiro STATEMENT BY APPLICANT Art Unit 2883 (Use as many sheets as necessary) Examiner Name Anderson, Guy G. 1716364 Attorney Docket Number

Examiner	Cite	Document Number	U. S. PATENT D	**************************************	
Initials	Cite No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Linea, Where Relevant Passages or Relevant
		Number-Kind Code ² (# trosur)			Figures Appear
	L	US-		•	
		US-			
		US-	7		
		US-	(3)		
		US-		·····	
		US-			
-		US-			
		VS-	 		-
		UŚ-	 		
	•	US-			
		US-			
		US-		•	 -
		บร-			
		US.			
		US-		·	· · · · · · · · · · · · · · · · · · ·
İ		ŲŞ∙			
		UŞ-			
		U9•	 		-
		U\$-	 		

Extrainer Initials*	Cite No.	Foreign Patent Document Country Code ² Number ("Kind Code ("Kingwn))	PATENT DOCU Publication Onte MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	τª
		JP 1-142907 (partial English translation)	09-29-1989			
						⊦
						E
			·			┝

Examiner Signature		Dale Considered	

*EXAMINER. Initial if reference considered, whether or not cliction is in conformance with MPEP 609. Draw tine through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspio.gov or MPEP 901.04. 'Enter Office that issued the ducument, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language. Translation is attached.

Translation is effected.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of lane you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. 80x 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

2300562 / 1716364

PTO/SB/08B (07-05)

Approved for use through 07/31/2005. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/525,903	
INFORMATION DISCLOSURE		09/06/2005	
STATEMENT BY APPLICANT	T First Named Inventor Ichikawa, Junichiro		
(Use as many sheets as nocossary)	Art Unit	2883	
	Examiner Name	Anderson, Guy G.	
Sneet 2 of 2	Attorney Docket Number	1716364	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS	_
Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.	T ²
		JAPAN PATENT OFFICE, Notification of Reason for Refusal in JP 2002-254938, April 18, 2006, Japan Patent Office, Japan	1
		·	

Examiner	·	Date	
Signature		Considered	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

2300545 / 1716364

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with his it communication to applicant.

Applicant's unique deby or misitorm with nist communication of applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1 98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including pathering, preparing, and submitting the complication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chef Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETEO FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.